



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,928	01/09/2002	Dah-Shiam Chiao	100.006

CONFIRMATION NO. 9600

## FORMALITIES LETTER



\*OC000000007452154\*

David M. O'Neill  
333 E. 56th St. 16-L  
New York, NY 10022

Date Mailed: 02/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/29/2002 NHOMAH1 00000002 10043928

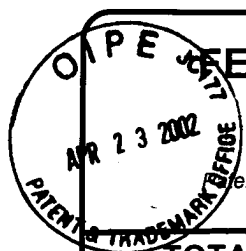
02 FC:205 65.00 DP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# **FREE TRANSMITTAL** **for FY 2001**

Patent fees are subject to annual revision

**TOTAL AMOUNT  
OF PAYMENT**

(\$)120

## **Complete if Known**

Application Number	10/043,928
Filing Date	January 9, 2002
First Named Inventor	Chiao
Examiner Name	TBD
Group Art Unit	1744
Attorney Docket No.	100.006

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

- ☐ Charge Any Additional Fee Required  
Under 37 C.F.R. 1.16 and 1.17
- ☐ Applicant claims small entity status  
See 37 C.F.R. 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit Card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1) (\$)** \_\_\_\_\_

### 2. EXTRA CLAIM FEES

Total Claims        -20\*\* =        X        =       

Independent Claims        -3\*\* =        X        =       

Multiple Dependent        =       

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 over original patent	

**SUBTOTAL (2) (\$)** \_\_\_\_\_

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing of fee or oath	<u>\$65</u>
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920	112 920	Requesting publication of SIR prior to Examiner action	
113 1,840	113 1,840	Requesting publication of SIR after Examiner action	<u>\$55</u>
115 110	215 55	Extension for reply within first month	
116 390	216 130	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petition to the Commissioner	
123 50	123 50	Processing fee under 37 C.F.R. 1.17 (q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 C.F.R. 1.129 (a))	
149 710	249 355	Filing a submission after final rejection (37 C.F.R. 1.129 (b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$)** 120

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Complete (if applicable)

Name	David M. O'Neill	Registration No.	35,304	Telephone	212-838-5377
Signature	David M. O'Neill (35,304)		Date	April 23, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Chiao et al.

Docket No.

100-006

Jc828 U.S. PTO



01/09/02

Serial No.

TBD

Filing Date

January 9, 2002

Examiner

TBD

Group Art Unit

TBD

Invention: MULTIMEDIA AND SCENT STORAGE CARTRIDGE DESIGN HAVING  
ELECTROSTATIC SCENT RELEASE AND METHODS FOR USING SAME

I hereby certify that the following correspondence:

Combined Declaration and Power of Attorney (unexecuted)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 9, 2002

(Date)

David M. O'Neill (35,304)

Typed or Printed Name of Person Mailing Correspondence

*David M. O'Neill (35,304)*

(Signature of Person Mailing Correspondence)

EF378690995US

("Express Mail" Mailing Label Number)